



**NOTICE TO PROCEED
WITH THE
PRELIMINARY ENGINEERING FOR
ENVIRONMENTAL PHASE and
HIRING DESIGN CONSULTANT
OF PROJECT DEVELOPMENT**

State Project No.: 47LPLM-F0-038

Federal Project No.: TCSP-9TN(2)

PIN: 113061.00; Pedestrian Bridge Connecting South Knoxville Waterfront and
the University of Tennessee at Knoxville

September 25, 2009

**PLEASE CONTACT OUR ENVIRONMENTAL DIVISION AT
(615) 741-3655 PRIOR TO CONTACTING YOUR CONSULTANT.**

You are hereby authorized to begin the Preliminary Engineering for the Environmental Document Preparation and Approval **ONLY**. This notice confirms that there is a fully executed contract between your agency and TDOT for the development of this project, that you have provided all information and material required to determine your agency's ability to perform the work and that the funding for the Preliminary Engineering for the Environmental Document Preparation and Approval has also been approved. You shall perform this phase of project development in accordance with the guidelines provided in <http://www.tdot.state.tn.us/local/Documents/LocalAgencyGuidelines.pdf> of the Local Government Guidelines. Until the environmental document is approved in accordance with the procedures in APPENDIX B, <http://www.tdot.state.tn.us/local/Documents/LocalAgencyGuidelines.pdf> the only design work that should be completed is that which is necessary to complete the NEPA documentation.

Please Be Aware of the Following:

This notice specifically does not provide authorization for any activities connected to the construction phase of the project. You will receive a separate Notice to Proceed for the Construction Phase. If you perform any activity connected with the Construction Phase, including advertisement for bids prior to receiving the Notice to Proceed, your agency forfeits all funding for the Construction Phase.

Please provide this office the name of the consultant you select and a copy of the contract with the consultant. Also provide a notice indicating the date you authorized work on the preliminary engineering phase of the project. This notice should be addressed to Teresa Estes, Transportation Coordinator, Local Programs Development Office, Suite 600, James K. Polk Building, Nashville, TN 37243-0341

If you have questions or concerns, direct them to Teresa Estes or Lisa Dunn, Transportation Planner at 615-741-5314.

You may contact this office by email at: tdot.enhancements@state.tn.us

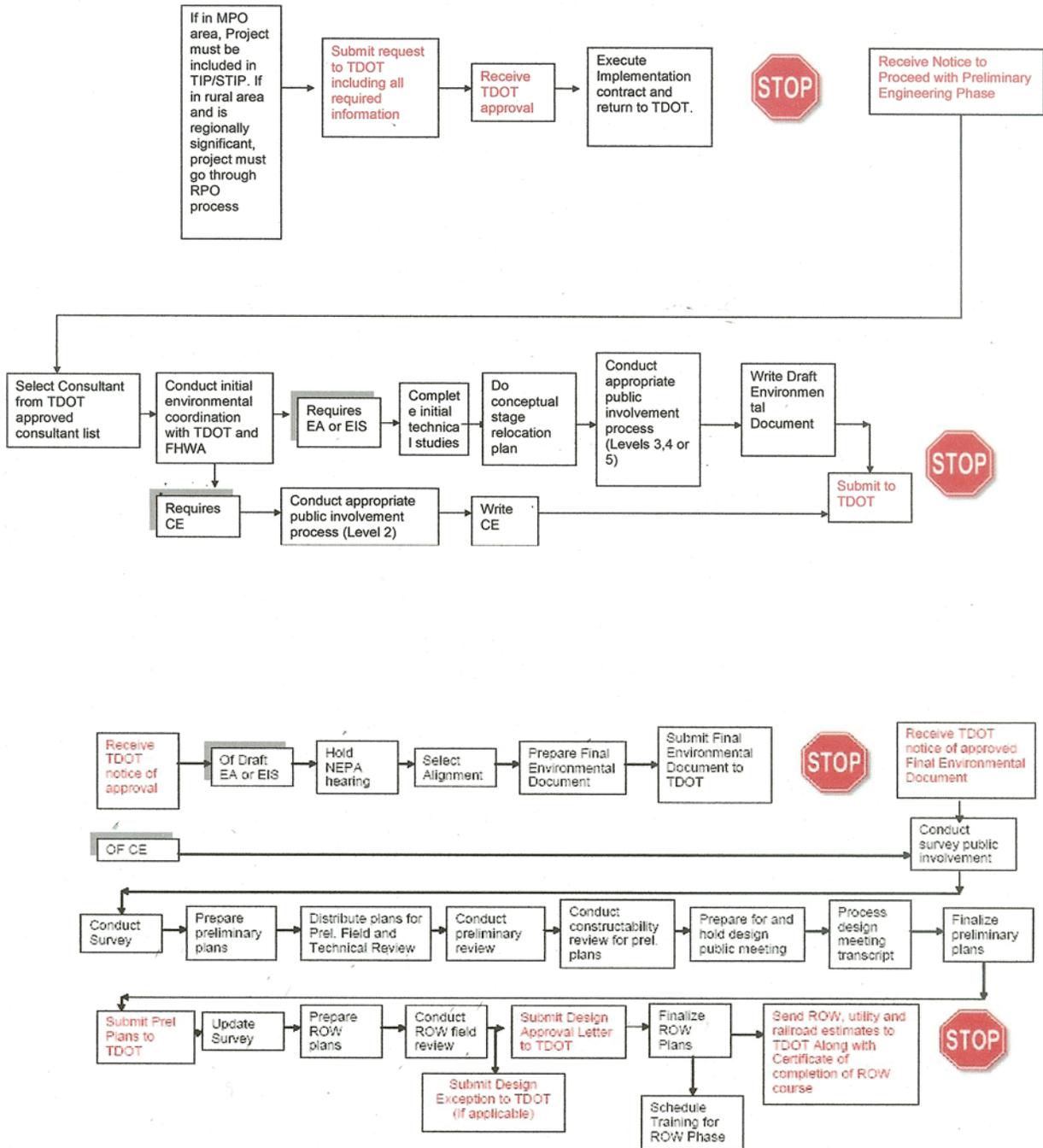


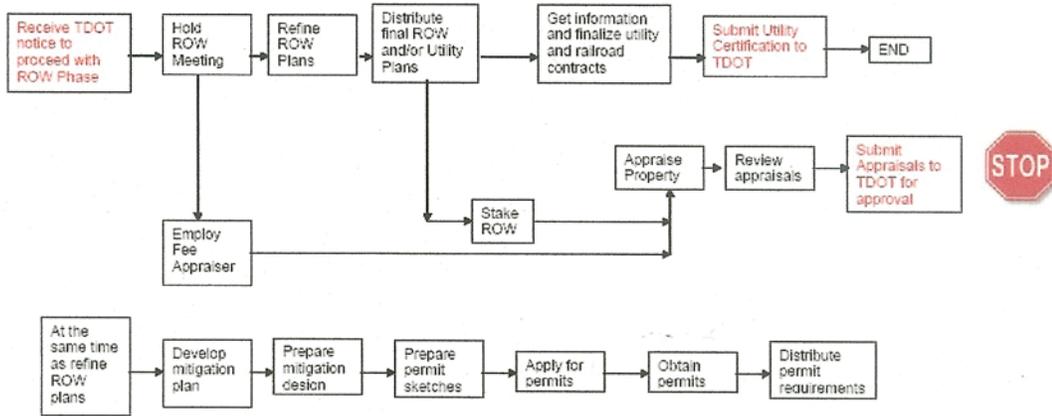
Cc: Paul Degges
Jim Moore
Chris Christianson
Ronnie Porter
Suzanne Herron
Steve Borden, Regional Director
Charles Noseworthy, Regional Environmental Coordinator
File



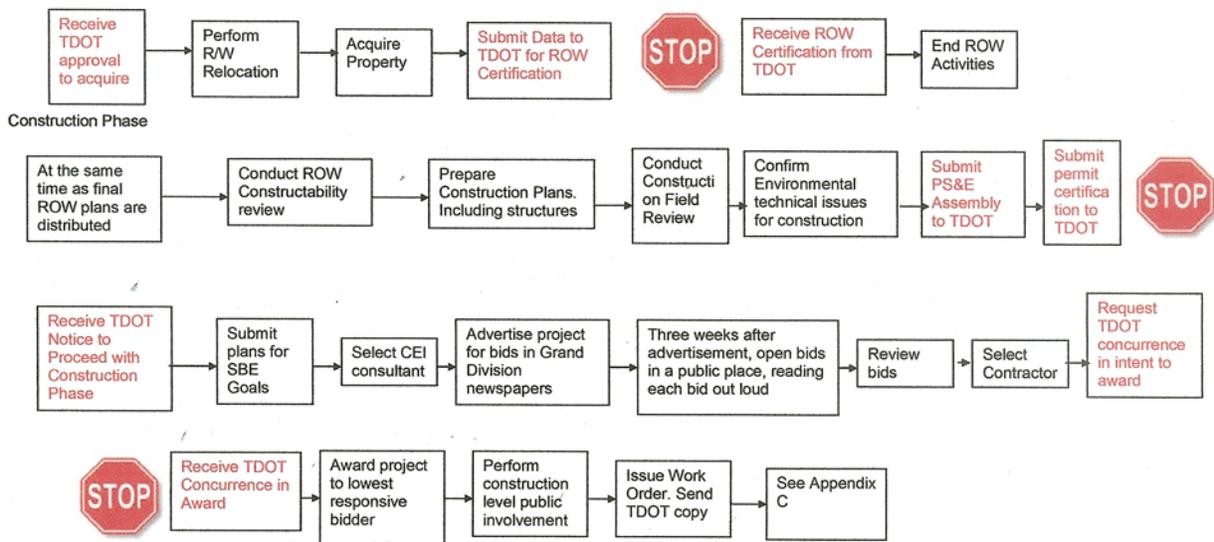
Chapter 2: Flow Chart and Responsibility Matrix

LOCAL PROGRAMS MANUAL FLOW CHART





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LOCAL PROJECT ACTION RESPONSIBILITY MATRIX

APPROVAL ACTION	AGENCY RESPONSIBILITIES		
	Local Government	Tennessee DOT	FHWA
PROGRAMMING			
Verify eligibility for proposed funding category		Verify	Verify
FINANCIAL MANAGEMENT			
Approve Federal-aid Project Agreement (PR-2)	Request	Review and submit	Approve
Approve requests for payment	Provide	Review and implement	N/A
PRELIMINARY DESIGN			
Consultant Selection [FDM 8-30-1]	Request	Verify consultant selection	
Approve exceptions to design standards [23 CFR 625.3(f)]	Recommend	Approve (non-Interstate)	N/A
Public interest finding with respect to airport-highway clearance [23 CFR 620.104]	Request	Review and concur	Coordinate with FAA
DETAILED DESIGN			
Approve preliminary plans for structures [23 USC 109(a)]	Prepare	Review	Review and concur
Approve retaining right-of-way encroachments	Request	Concur	
Approve use of publicly owned equipment [23 CFR 635.106]	Request	Review and concur	Approve
Approve the use of proprietary products, processes [23 CFR 635.411]	Request	Review / Recommend	Approve

APPROVAL ACTION	AGENCY RESPONSIBILITIES		
	Local Government	Tennessee DOT	FHWA
Concur in use of publicly furnished materials [23 CFR 635.407]	Request	Recommends	Concur
PS&E AND ADVERTISING			
Approve plans, specifications and estimates [23 CFR 630.205]	Approve	Monitor	
Authorize advance construction and conversions [23 CFR 630.703 & 709]	Request	Concur	Approve
Authorize utility or railroad force account work [23 CFR 645.113 & 646.216]	Authorize	Monitor	
Approve utility and railroad agreements [23 CFR 645.113 & 646.216]	Approve	Monitor	
Approve use of consultants by utility companies [23 CFR 645.109(b)]	Approve	Monitor	
Authorize advertising for bids [23 CFR 635.112]	Request	Concur	Authorize
Approve hiring of consultant to serve in a "management" role [23 CFR 172.5(a)]	Request	Verify consultant selection	
Approve consultant agreements [23 CFR 172.7 - 172.9]	Approve	Monitor	
Approve environmental commitments	Request	Approve	
ENVIRONMENT			
All actions required by Federal laws and regulations in accordance with TDOT procedures	Prepare and submit	Review and Concur	Approve
Prepare permit application materials and obtain all necessary environmental permits	Prepare and obtain	Monitor	

RIGHT-OF-WAY			
Authorize Right-of-Way activities [23 CFR 630.106]	Request	Request	Authorize
Accept Right-of-Way certificate as a condition of PS&E approval [23 CFR 635.309(b)(c)(1)(2)(3)]	Request	Certify	
Approve non-highway use and occupancy [23 CFR 710.405]	Prepare and request	Review and concur	Approve
Approve disposal of federally funded ROW at fair market value [23 CFR 710.403]	Prepare and request	Approve	
Approve disposal of federally funded ROW at less than Fair Market Value [23 CFR 710.409]	Prepare and request	Review and concur	Approve
CONSTRUCTION			
Approve cost effectiveness and emergency determinations for contracts awarded by other than competitive bidding [23 CFR 635.104 & 204]	Prepare and request	Recommend	Approve
Approve construction engineering by local agency [23 CFR 635.105]	Prepare and submit	Approve	
Approve advertising period less than three weeks [23 CFR 635.112]	Justify and request	Review and concur	Approve
Approve addenda during advertising period [23 CFR 635.112]	Prepare and notify	Approve	
Concur in award of contract [23 CFR 635.114]	Recommend	Concur	
Concur in rejection of all bids [23 CFR 635.114]	Recommend	Concur in Rejection	
Approve changes and extra work [23 CFR 635.120]	Prepare and submit	Approve	
Approve contract time extensions [23 CFR 635]	Prepare and submit	Approve	

CONSTRUCTION			
Concur in use of mandatory borrow/disposal sites [23 CFR 635.407]	Prepare and request	Concur	
Accept materials certification [23 CFR 637.207]	Prepare and certify	Accept	
Conduct Materials Acceptance Testing [TDOT SOP1-1]	Conduct, inspect, and advise	Monitor	
Conduct Materials Verification and Independent Assurance Testing [TDOT SOP1-2]	Request	Conduct	
Concur in settlement of contract claims [23 CFR 635.124]	Prepare and submit	Review and concur	Concur (if Federal funds involved)
Concur in termination of contracts [23 CFR 635.125]	Prepare and request	Recommend	Concur
Waive Buy America provisions [23 CFR 635.410]	Prepare and request	Review and concur	Approve
Final inspection/acceptance of completed work [23 USC 114(a) and 23 USC 121]	Conduct, inspect, and advise	Participate	
Footnotes:			